

1

Foreword

2 This *Code of Practice for the Prevention and Reduction of Ochratoxin A (OTA) Contamination*
3 *in Coffee* was developed in response to the request of the Philippine Council for Agriculture
4 and Fishery (PCAF) Committee on Commercial Crops to develop Good Agriculture Practice
5 (GAP) for Coffee and other related standards. The Codex Alimentarius Commission
6 developed a *Code of Practice for the Prevention and Reduction of Ochratoxin A (OTA)*
7 *Contamination in Coffee* in 2009. This Code of Practice is therefore an adoption of this
8 Codex Code of Practice with some modifications to suit the local production practices in the
9 Philippines. This Code of Practice specific for *OTA* prevention and reduction is a
10 supplement to the existing *Good Agriculture Practice for Coffee* (PNS/BAFS____:2014) and
11 should be read in conjunction with it.

12 A Technical Working Group (TWG) was created through Special Order No. 320 Series of
13 2014 to develop the draft Code of Practice. The TWG represented the relevant agencies of
14 the Department of Agriculture (DA), Department of Science and Technology (DOST),
15 Academe and the non-profit organizations. Public consultations were conducted in
16 Cordillera Administrative Region (CAR), Regions 4A, 10, 11 and 12 representing the major
17 hubs of coffee production and trade. Comments and recommendations were solicited from
18 the relevant government agencies, academe, private sector and non-government
19 organizations. Therefore, this Code of Practice is the final output of the public-private
20 sector collaboration between, and among the TWG and the relevant stakeholders who
21 participated in the public consultations.

22 I. Introduction

23 1. This document is intended to provide guidance for all interested parties producing
24 and handling coffee for human consumption to prevent and reduce *Ochratoxin A*
25 (*OTA*) contamination in coffee. All coffee beans should be prepared and handled in
26 accordance with the *Codex General Principles of Food Hygiene (CAC/RCP 1-1969, Rev. 4,*
27 *2003)*, which are relevant for all foods being prepared for human consumption. This
28 Code of Practice indicates the measures that should be implemented by all persons
29 that have the responsibility for assuring that food is safe and suitable for human
30 consumption.

31

32 2. *Ochratoxin A (OTA)* is a toxic fungal metabolite classified by the International Agency
33 for Research on Cancer (IARC) as a possible human carcinogen (group 2B). The Joint
34 Food and Agriculture Organization (FAO)/World Health Organization (WHO) Expert
35 Committee on Food Additives (JECFA) established a Provisional Tolerable Weekly
36 Intake (PTWI) of 100ng/kg bodyweight for *OTA*. In recognition of this global concern,

- 37 FAO developed Guidelines for the Prevention of Mould Formation in Coffee in 2006 as
38 a strategy to enable coffee-producing countries to develop and implement their own
39 national programs for the prevention and reduction of *OTA* contamination.
40
- 41 3. *OTA* is produced by a few species in the genera *Aspergillus* and *Penicillium*. In coffee
42 beans, only *Aspergillus* species, specifically *A. ochraceus* and related species (*A.*
43 *westerdijkae* and *A. steynii*), *A. niger* and related species, and *A. carbonarius* are
44 involved in *OTA* production. *OTA* is produced when favorable conditions of water
45 activity, nutrition and temperature required for growth of fungi and *OTA* biosynthesis
46 are present.
47
- 48 4. The coffee fruit is composed of the pericarp and perisperm tissue. The perisperm
49 gradually disappears and is progressively replaced by the endosperm (true seed).
50 Initially present in a "liquid" state, the endosperm hardens as it ripens during the
51 maturation phase, as a result of accumulation of storage proteins, sucrose and
52 complex polysaccharides representing the main reserves of the seed. The last step of
53 maturation is characterized by the dehydration of the endosperm and the color
54 change of the pericarp.
55
- 56 5. The main commercial coffee varieties produced and traded are *Coffea canephora*
57 (robusta coffee) and *Coffea arabica* (Arabica coffee). *Coffea liberica* and *Coffea excelsa*
58 is likewise cultivated. The major coffee variety produced in the Philippines is the
59 robusta coffee.
60
- 61 6. After harvest, the crop is sorted, dried (as cherries/berries or as beans), stored and
62 traded. The moisture content of the beans is reduced to a maximum of 12% to
63 prevent *OTA* production.
64
- 65 7. Possible contamination routes for infection of *OTA*-producing fungi in the coffee
66 [fruit] are through the flowers (without visible sign) and through the soils, or by
67 insect invasion such as coffee berry borer (CBB) (*Hypothenemus hampei*), that can
68 carry spores to the fruit by making hole in the cherries and one or more tunnels in the
69 beans leaving visible signs. In the subsequent stages, it is in the drying process that
70 provides for *OTA*-producing fungi opportunities for growth. Consequently, the
71 roasting process can significantly reduce *OTA* between 65 and 100%.
72
- 73 8. In the Philippines, the mycoflora of coffee had been investigated by the Philippine
74 Center for Postharvest Development and Mechanization (PhilMech). The dominant
75 *Ochratoxin A* (*OTA*) forming fungus associated with coffee was *Aspergillus niger*.
76 Other *Ochratoxin A* forming fungi isolated include *A. fumigatus*, *A. ochraceus* and *A.*

77 *carbonarius*. *Ochratoxin A* contamination in coffee had been detected in *Coffea*
78 *liberica* and in *Coffea robusta* to a lesser extent.

79

80 **II. Definitions**

81

82 For the purpose of this Code, the following terms are operationally defined based on
83 International Organization for Standardization. Coffee and Coffee Products – Vocabulary
84 (ISO 3509:2005):

85

86 ***Section 1. Parts of the coffee fruit, undried (please see Figure 1)***

87 **Bean, fresh bean** - endosperm (seed) of the coffee fruit. There are generally two beans per
88 fruit.

89

90 **Coffee Cherry** – also known as coffee berry; fresh, complete fruit of the coffee tree.

91 **Endocarp** - scientific term for “parchment.” The tough integument tightly pressed to the
92 seed when fresh but from which the seed shrinks during drying.

93 **Endosperm** - scientific term designating the tissues that feed the embryo during
94 germination. The bean consists of the endosperm and embryo (i.e., the material inside the
95 developing fruit), which ultimately forms the coffee beans. The endosperm fill the
96 integument as the coffee cherry ripens.

97 **Epicarp or Exocarp** - scientific word designating the skin of the fruit, a mono-cellular
98 layer covered with a waxy substance ensuring protection of the fruit.

99 **Floating coffee (floaters)**- cherry coffee of low density, buoyant in water.

100 **Mesocarp** - intermediate layer of tissues between the epicarp and the endocarp
101 (parchment). It consists mainly of pectinacious mucilage and pulp.

102 **Mucilage** - common word to describe the sweet slimy layer found between the pulp and
103 adhering to the parchment inside a coffee cherry, but not removed by pulping. Not present
104 in unripe cherries.

105 **Naked beans or endosperm** - parchment coffee that has been partly or entirely peeled of
106 its parch during pulping and/or washing.

107 **Pulp** - part of the coffee cherry composed of the external exocarp and most of the internal
108 mesocarp (mucilaginous tissue).

109 ***Section 2. Parts of the coffee fruit, dried***

110 **Parchment coffee** – coffee bean entirely or partially enclosed in its parchment (endocarp,
111 pergamino).

112 **Coffee Bean** - commercial term designating the dried seed of the coffee plant.

113 **Defects** - the general term for common undesirable particles, which can include various
114 types of beans, parts of beans, fruit tissue and foreign matter, found in green and roasted
115 coffee beans. Diverse and specific terms, according to the producing country, are used to
116 describe the defects. The fruit defects are generally caused by faulty processing, pest
117 damage, or adverse climatic conditions. Defects receive specific weight values to assist in
118 the classification and grading of coffee lots under various national and international
119 systems.

120 **Green coffee bean** - the dried seed of the coffee plant, separated from non-food tissues of
121 the fruit.

122 **Hull, dried parchment** - dried endocarp of the coffee fruit.

123 **Husk, dried cherry pulp** - assembled external envelopes (pericarp) of the dried coffee
124 fruit.

125 **Natural coffee, dried coffee cherry** - dried fruit of the coffee tree, comprising its external
126 envelopes and one or more beans.

127 **Parchment (or Parch) or endocarp** - the coffee fruit endocarp located between the fleshy
128 part (pulp) and the silver skin. It is a thin, crumbly paper-like covering left on wet-
129 processed beans after pulping and fermentation, removed during hulling.

130 **Silverskin, dried testa, dried seed perisperm** - coat of the coffee bean. It has generally a
131 silvery or coppery appearance.

132 **Washed and cleaned coffee** –processed green coffee from which the silverskin has been
133 removed by mechanical means in the presence of water.

134 *Section III. Processes*

135 **Dehusking** - mechanical removal of the husks (pericarp) from dry coffee cherries.

136 **Drying of parchment coffee** - operation to reduce the moisture content of parchment
137 coffee to a level that allows hulling under satisfactory technical conditions and that will not
138 be detrimental to further storage of the coffee.

139 **Dry process** - treatment of coffee cherries consisting in drying them, either under sunlight

140 or in drying machines, to give husk coffee. This is usually followed by mechanical removal
141 of the dried pericarp (husk) to produce “natural” green coffee.

142 **Fermentation process** - treatment intended to digest the mucilaginous mesocarp
143 adhering to the parchment of the pulped coffee, allowing its elimination by washing. The
144 fermentation process can be replaced by a mechanical demucilaging system to remove the
145 mucilage by friction.

146 **Gleaning (or sweeping)** - coffee fruit found lying on the ground beneath coffee bushes,
147 detached during harvest or abscised during development.

148 **Hulling** - removal of the dried endocarp of parchment coffee to produce green coffee.
149 Polishing: technological operation to remove the residual silverskin (perisperm) from
150 green coffee by purely mechanical means.

151 **Pulping** – technological operation used in the wet process to remove the pulp (exocarp)
152 and as much as possible of the mucilage (mesocarp) by mechanical means. A portion of the
153 mucilaginous mesocarp usually remains adhering to the parchment (endocarp).

154 **Roasting** - heat treatment that produces fundamental chemical and physical changes in the
155 structure and composition of green coffee, bringing about darkening of the beans and the
156 development of the characteristic flavor of roasted coffee.

157 **Selection** - technological operation intended to eliminate foreign matters (e.g. stones,
158 twigs, leaves) and to sort coffee cherries according to size, density and degree of maturity.

159 **Sorting** - operation intended to remove foreign matter, fragments of coffee and defective
160 beans from green coffee.

161 **Splitting of cherry** - a variation of dry processing wherein the cherry is mechanically split
162 open and the fruit and seeds maintained together in a mass.

163 **Washing** - operation intended to remove by water all traces of the mucilaginous mesocarp
164 from the surface of the parchment bean.

165 **Wet process** - treatment of coffee cherries consisting of the mechanical removal of the
166 exocarp (pulp) in the presence of water, alternatively followed by either (1) removal of the
167 mucilage (mesocarp) by fermentation or other methods, followed by washing to give
168 parchment coffee, or, (2) direct drying of the pulped beans within their mucilaginous
169 parchment, followed by hulling to produce “semi-washed” green coffee. Removal of the
170 mucilage is usually followed by drying and hulling to produce “washed” green coffee.

171 III. Processing of Coffee Cherries

172

173 9. The coffee cherries are processed under two basic systems (Figures 2 and 3): a) the
174 dry processing system which produces what is called natural coffee or dried coffee
175 cherry (the seed is enclosed in the whole fruit), and b) the wet processing system,
176 that generates what is called parchment coffee, where the seed is enclosed in the
177 inner integument or endocarp.

178

179 10. In the dry processing system of natural coffee, the whole fruit is either directly sun-
180 dried, on bare soil, bricks, laminated sacks, tiles and concrete pavement, or dried
181 using a combination of sun and mechanical drying (particularly more technologically
182 advanced farms).

183

184 11. In wet processing system of parchment coffee, the fruit parts are mechanically
185 separated, making the pulp as the by-product and the parchment coffee as the main
186 product. The latter is coated with mucilage, which can be degraded by fermentation
187 and then washed or mechanically removed directly, with or without fermentation.
188 After removing or not removing the mucilage, the parchment coffee is usually sun-
189 dried, in a drying yard, or on suspended tables with many variations and
190 technological innovations. Sun and mechanical drying can be used in combination.

191

192 12. After dehulling or dehusking, the dried green coffee beans will passed through sizing
193 (grading), sorting, polishing, cleaning and bagging before being sold.

194

195 13. Coffee roasting can remove a very significant percentage of *OTA*. Depending on the
196 roasting process, 65 to 100% reduction of *OTA* can be achieved.

197

198 14. While this Code of Practice is focused on the reduction of *OTA* contamination, which is
199 the primary food safety issue in the production of green coffee bean, industry food
200 safety programs must also effectively manage other potential hazards associated with
201 the production, processing and handling of coffee.

202 IV. Recommended Practices

203

204 4.1 Pre-Harvest

205

206 15. It is not certain whether *OTA*-producing fungi can infect coffee fruits and grow to
207 produce *OTA* still on the plant. It is possible that infection on the plant may involve
208 two different contamination routes, either through (1) the flowers without visible
209 sign, or (2) by insect invasion such as the coffee berry borer (CBB) (*Hypothenemus*

210 *hampei*), that can carry spores to the fruit by making holes in the cherries and one or
211 more tunnels in the beans, leaving visible signs.

212

213 16. Recommended practices to reduce the development and spore load from *OTA*-
214 producing fungi on coffee plants and beans are:

215

216 a) Keep coffee plants vigorous, through the regular use of Good Agriculture
217 Practices (GAP), such as weeding, improving soil texture, pruning, fertilization,
218 pest and disease control, and irrigation.

219 b) Do not use overhead irrigation during the flowering period. This could augment
220 normal spore dispersal rate and increase the chance of infection of beans by *OTA*
221 producers.

222 c) Use traps (such as alcohol traps) for coffee berry borer control before
223 harvesting, and encourage the use of the integrated pest management (IPM)
224 program.

225 d) Avoid disposal of uncomposted organic wastes, from coffee or any other source,
226 in or around plantation. Coffee seeds and seed-associated material, such as dust,
227 earth, parchment and other seed processing residues can allow proliferation of
228 *OTA*-producing fungi.

229

230 **4.2 Harvesting**

231

232 17. The harvesting method chosen on any farm is a conjunction of the requirements of
233 the processing method, economic considerations and labor availability.

234

235 Two (2) basic harvesting systems are known: (1) single-pass stripping, where all
236 branches bearing fruit are harvested at once and (2) multi-pass selective picking
237 (finger picking), where only ripe cherries are harvested. Of the two (2) systems,
238 selective picking of ripe cherries is highly recommended.

239 18. In general, berries that fall naturally onto the ground should not be collected,
240 particularly in humid conditions, as fungal growth may occur, which can give rise to
241 *OTA* contamination. However, during harvest fallen beans should be immediately
242 collected within the day. To avoid the risk of contaminating the rest of the crop, care
243 should be taken to ensure that any fallen berries that are collected are rapidly
244 subjected to the processing and drying stages, as these commodities may have a
245 higher likelihood of fungal growth.

246

247 19. The harvest should be started as soon as there are sufficient ripe cherries for it to be
248 economically viable. When the right time to commence harvest is decided, the
249 following should first be carried out:

- 250 a. Remove weeds, fallen cherries and brush from the proximity of the trees
251 before harvest.
252
- 253 b. Place canvas, mats, or tarpaulins beneath the trees to prevent.
254
- 255 c. Ensure that there are adequate arrangements for the subsequent storage and
256 processing of the crop, so that conditions favour mold growth or other damage
257 are avoided.
258
- 259 20. Coffee cherries should be processed as soon as possible after harvesting. The harvest
260 rate, processing performance and labor availability must follow the pace of the drying
261 rate.
262
- 263 21. Coffee cherries ready to be processed should be sorted by water flotation to remove
264 the low quality cherries or floaters (e.g. immature fruit, insect damaged cherries) and
265 foreign matters. It should be ensured that any material that is ~~out~~-sorted out is
266 disposed off in an appropriate manner.
267

268 **4.3 Post-Harvest**

- 269 22. Senescence and changes follow once coffee cherry is detached from the plant. The
270 post-harvest period is characterized by initial, transitional and final phases.
271
272
- 273 23. The initial or high moisture phase starts with harvest. The product is then in an
274 unstable state, and spoilage can be controlled through competitor microorganisms,
275 restricting oxygen and reducing the time which is critical in this state. In wet
276 processing the high moisture phase may be extended and controlled through
277 fermentation (i.e. 12 to 36 hours), but it is desirable to reduce the time.
278
- 279 24. The transitional phase is the least stable and most difficult to predict, when spoilage
280 can only be controlled by time limitation. Mesophilic and xerophilic spoilage
281 microorganisms have enough water to grow but not their hydrophilic competitors.
282 Turning or stirring of the coffee is essential to promote uniform drying. When harvest
283 coincides with a rainy or high humidity season, measures to optimize drying, must be
284 adopted.
285
- 286 25. The final or low moisture phase starts at the end of drying and continues until
287 roasting. The product is in a stable condition and control is necessary to prevent
288 water re-introduction or redistribution in the bulk coffee beans. At some point during
289 drying, there is no further growth as the product reaches the low moisture phase.

290 **4.4 Dry Processing**
291

292 26. In the dry processing system (*Figure 2*) the whole harvested fruit is dried. Although it
293 is a simpler process compared to wet processing, a good quality finished product can
294 only be obtained through the application of good practices and proper management.
295

296 27. Wherever possible, freshly picked cherries should be dried on the same day that they
297 are harvested. In some instances, the harvested fruit is retained in bags or heaps for
298 up to a week. This practice leads to high temperatures and quick fermentation,
299 different in nature from the fermentation process employed in wet processing,
300 causing quality losses and increasing the risk of *OTA* in the product.
301

302 28. Prior to drying, the harvested fruit should be sorted to remove immature and over
303 mature cherries, and cherries damaged by CBD (Coffee Berry Disease). Sorting may
304 be done either manually, or in combination with water flotation.
305

306 **4.5 Wet Processing**
307

308 29. Wet or washed processing (*Figure 3*) requires a raw material composed of only ripe
309 cherries that have been selectively picked or are mechanically separated in the
310 process itself. Green immature cherries and dried fruits are removed in a water
311 separator. The mucilage is removed, either by fermentation, mechanically or by using
312 chemicals.
313

314 30. In the fermentation process, the mucilage is broken down by fermenting the beans in
315 water at ambient temperatures (using microorganisms) for between 12 and 36 hours.
316 The fermentation process must be carefully monitored to ensure that the coffee beans
317 do not acquire undesirable (sour) flavors. After fermentation is complete, the coffee
318 beans are washed in clean water tanks or in special washing machines.
319

320 31. After passing through the water separators and before removal of the pulp, the
321 separation of the green immature cherries from the ripe ones can be performed, using
322 differences in pressure, in a green cherry separator. The soft ripe cherries pass
323 through the holes of the screen. The hard, unripe cherries, which cannot pass through
324 the holes, go to the edge of the cylinder where a counter weight controls their
325 outflow.
326

327 32. Factors that need to be controlled are as follows:

328 a.) Any equipment should receive regular maintenance, to reduce the possibility of
329 failures, which could delay processing and compromise coffee quality and safety.
330

- 331 a.1) Before the beginning of the harvest season: clean, reassemble and lubricate the
332 processing equipment; inspect the installation and check if it is operational, so
333 that there is enough time for repairs if any problem occurs.
- 334 a.2) At the end of the harvest season: clean, repair, lubricate, dust all equipment and
335 protect from water. Check pulping surfaces for wear.
- 336 b.) Provide proper orientation/training to the workers and define their responsibilities.
337 In addition, define quality and acceptability criteria, the monitoring procedures and
338 frequencies, and the corrective measures for each key element of the process for the
339 following:
340
- 341 b.1) Cherries – maximum acceptable proportion of immature and over-mature/tree-
342 dried cherries.
- 343 b.2) Pulping - acceptable proportion of un-pulped cherries and nipped beans and
344 cost-benefit to increase size uniformity of the cherries and effectiveness of skin
345 removal. The efficiency of the operation can be improved based on the various
346 estimates of monitoring the quality and safety of the product.
- 347 c.) Water quality - clean water (*i.e.* water that does not compromise food safety in the
348 circumstances of its use) should be used for processing, as dirty water may lead to
349 conditions favourable to *OTA* production.
350
- 351 d.) Fermentation should be as short as possible (12 to 36 hours), to get the mucilage
352 degraded and the beans washable. Monitoring procedures should be established (e.g.
353 temperature).
354
- 355 e.) Avoid the presence of fruit flies, as high populations can affect fermentation.
356
- 357 f.) Secondary coffee cherry, which can be defined as products separated by sorting or
358 other procedures and are returned to the processing, should have a specific control
359 program e.g. good drying practices should be applied, such as maintenance of
360 separate facilities for drying.
361
- 362 g.) Washing protocols should be defined and implemented (e.g. by measuring the
363 quantity of broken, nipped and naked beans and other foreign matters, and the
364 quantity of water used).
365

366 4.6 Drying of Sorted and Processed Coffee Beans

367
368 33. The main purpose of the drying operation is to efficiently decrease the high water
369 content of the just processed cherries to a safe level in order to get a stable, safe and
370 good quality product.

371
372 34. There are two (2) basic types of processes: wet and dry. Most of the coffee produced
373 is dried using direct sunlight.

374
375 35. In the sun-drying process, the product is spread on surfaces such as cement or brick
376 terraces, plastic canvas, bamboo and sisal mats, raised tables covered in wire mesh, or
377 farm netting.

378
379 36. The drying process can be divided into three (3) stages. In each stage *OTA*-producing
380 fungi will have varying opportunities for growth.

381
382 37. At the first (1st) stage, there is a slight decrease in moisture content that takes a time
383 interval between one (1) to three (3) days for cherry coffee and one day or less for
384 parchment coffee. The high moisture content ($a_w > 0.95$) provides unsuitable
385 conditions for *OTA*-producing fungi to grow.

386
387 38. The second (2nd) stage is the one of maximum loss in moisture content for both
388 cherry and parchment coffee, under similar conditions at the same period of time.
389 This is mainly dependent on drying conditions and drying yard technology. During
390 this stage, there are favourable conditions for *OTA*-producing fungi to grow and
391 therefore it is necessary to implement precautionary measures as recommended in
392 *paragraph 42*.

393
394 39. At the third stage (3rd) both cherry and parchment coffee, is much drier compared to
395 the previous two stages. There is a slower slight decrease in the remaining moisture
396 content. Conditions at this stage do not favor the growth of *OTA*-producing fungi.

397
398 40. The *OTA*-producing fungi require favorable conditions during a certain period of time
399 to grow and produce the toxin. The level of available water is the most important
400 factor to be considered. At high water activity ($a_w > 0.95$) *OTA*-producing fungi will
401 unlikely to grow, as fast-growing hydrophilic fungi and yeasts grow first. At lower
402 activity ($a_w < 0.80$) the *OTA*-producing fungi can be present but incapable of
403 producing the toxin, and at a_w below 0.78-0.76, they cannot grow. Therefore the most
404 important point is to control the period of time in which coffee remains in the drying
405 yard, in the range of water activity where *OTA*-producing fungi can grow ($a_w > 0.8$ -

406 0.95). According to experimental results, 5 days or less in the drying yard is enough
407 and effective to prevent *OTA* accumulation. In general, maximum a_w of 0.67 to 0.70
408 and moisture content of 12% or below (wet basis) is sufficient for protecting
409 parchment coffee from damage by fungi.

410

411 41. Recommended measures to dry the coffee beans efficiently are:

412

413 a.) The drying yard should be located away from the contaminant sources such as dusty
414 areas and should receive maximum sun exposure and air circulation during most of
415 the day, to speed up the drying of the beans. Shady and low areas should be avoided.

416

417 b.) The surface for the drying yard should be chosen according to the climate of the
418 region, cost and quality of the dried product, as any type of surface has advantages
419 and disadvantages. Bare soil is not recommended. Plastic canvass gets humid under
420 the coffee layer, promoting fungal growth. In rainy or wet regions coffee must be
421 covered and re-spread, once the surface has dried. If parchment coffee is to be dried,
422 ensure that the drying surface is clean, in order to avoid picking up taints.

423

424 c.) The pace and total time of the harvest should be based on the available areas of the
425 drying yard and average time necessary for drying, considering both good and bad
426 weathers.

427

428 d.) The following practical measures should be incorporated into the drying process:

429

430 d.1) Dry coffee beans only in thin layers, *i.e.* 3 to 5 cm in depth which is equivalent to
431 25 to 35 kg/m² of fresh parchment of coffee cherry. In some cases (e.g. low air
432 humidity, good air circulation and sun intensity, or in usually dry regions),
433 thicker layers can be used.

434 d.2) Turn over the coffee beans layer constantly during the day time to allow faster
435 drying, to reduce the risk of fungi growing and help to produce a better quality
436 product.

437 d.3) Allow for the appropriate ventilation of the wet coffee beans during the night in
438 order to avoid condensation. After one (1) day of drying for parchment and
439 three (3) days for coffee cherry, the coffee cherries can be heaped and covered
440 at night during rainy weather, to avoid re-wetting.

441 d.4) Do not mix different types of coffee beans nor coffee beans from different days
442 of harvest. Use a specific identification for each one of them to identify each type
443 of coffee beans and day of harvest.

- 444 d.5) Protect the drying yard area from animals, which can be a source of biological
445 contamination in coffee beans during drying.
- 446 d.6) Regularly control CBB and other pest populations, using integrated pest
447 management in drying yard.
- 448 d.7) Monitor the drying process regularly (maximum of 12% moisture content for
449 both parchment and coffee cherry). Start taking samples from different points of
450 each lot, two (2) or three days (3) before it is expected to be fully dry and
451 continue re-evaluating it daily until it reaches the desired moisture content.
452 Instrumental measurements should be adopted at field level. Moisture content
453 should be measured according to International Organization for
454 Standardization. Green Coffee – Determination of Loss in Mass at 105°C (ISO
455 6673:2003) method.
- 456 d.8) Avoid re-wetting the beans because it favors rapid fungal growth and the
457 possibility of *OTA* production.
- 458 e.) Provide a clear and practical training for drying yard workers, including adequate use
459 of moisture meters.
460
- 461 f.) Repair, clean, protect and keep equipment in a clean storage area until the next
462 season. Moisture meters should be regularly cross-checked and calibrated once a year
463 before harvest according to International Organization for Standardization. Green
464 Coffee – Determination of Loss in Mass at 105°C (ISO 6673:2003) method.
465
- 466 42. Mechanical driers are generally used as complementary after sun-drying, but in some
467 regions it plays a major role in the drying process. Mechanical driers usually need to
468 have control of two (2) items: inlet temperature and duration of drying time. The
469 most common problem with mechanical drying is over drying, causing weight loss
470 and consequently income loss. The other problem is black beans from immature
471 beans submitted to excessive inlet temperature, decreasing the quality of the product.
472

473 **4.7 Storage, Transportation and Trading**

- 474 43. Properly identified lots of dried cherries or the dried parchment coffee should be
475 stored, at the farm level or in out-of-farm warehouses, in bulk or in clean bags under
476 appropriate storage conditions.
477
- 478 44. Handling coffee in local trading varies in relation to the proper structure of the chain
479 and the way the operations are performed. These functions include: post-cleaning,
480 sorting, grading into size classes, re-bagging, sometimes re-drying, storage and

481 transport. These operations add value to the traded product, before it is sold and sent
482 for roasting.

483

484 45. During the entire process, the coffee must also be protected from re-wetting,
485 degradation and cross-contamination. In long-term storage conditions, humidity
486 should be kept under strict control. Under a relative humidity below 60% coffee will
487 continue to dry but if the relative humidity is above 80% the coffee will start to
488 absorb water. Moisture in the storage place can originate from damp floors and walls,
489 rain (wind-driven or through leaks), dead air, and the mixing of dry with wet coffee.
490 Appropriate storage facilities, the use of good storage practice and regular monitoring
491 can prevent or reduce problems.

492

493 46. In lower grade coffee, it has been observed that fruits with black and sour defects
494 contained the highest levels of *OTA*. Tolerance for such defects in sorted green beans
495 should be low and the sorted defective beans should not be re-blended into clean
496 coffee or sold directly to roasters unless representative sampling plan and direct *OTA*
497 analysis has shown them to be acceptable.

498

499 47. From the production areas coffee may be transported by different means of
500 transportation to the trading points. The main aspect of concern here is to avoid re-
501 wetting of coffee, due to possible climatic changes between different regions, and
502 taking the necessary control measures.

503

504 48. In the production chain, the local market is the most sensitive part from where
505 improvements in practice can be administered. The competent authorities, through
506 regulatory and non-regulatory mechanisms, can enforce and influence practices in
507 order to guarantee that producers reliably operate in a way as to assure the product
508 safety.

509

510 49. Stakeholders should adopt procedures to protect coffee in each part of the chain,
511 refuse coffee suspected of contamination, and avoid practices that could generate or
512 increase a problem. Dried coffee must be protected from re-wetting through contact
513 with water, mixture with wet lots, absorption from wet air or surfaces or
514 redistribution of water within the lot. Protection from contamination by other
515 materials is also necessary.

516

517 a) Minimum hygiene requirements and a rapid assessment method (including a
518 sampling method with representative sub-sample of the incoming lot for moisture
519 content determination, defect levels, general physical quality assessment and visual
520 or smell signs of moldiness), should be established.

- 521 b) The warehouse design and structure should be adequate to maintain dryness and
522 uniformity of the stored coffee.
523
- 524 b.1) The desirable characteristics are: cement floor with a damp-proof course; not
525 subject to flooding; water pipelines properly located to avoid wetting coffee in
526 case of plumbing problems; waterproof windows and roof; and a high ceiling to
527 allow good air circulation.
528
- 529 b.2) Do not expose stored coffee to direct sunlight nor store it near heating sources,
530 to avoid the possibility of temperature differentials and water migration.
- 531 c) The operation of a storage facility must be optimized to prevent cross contamination,
532 the re-introduction of moisture, and to allow the best execution of receiving, sale and
533 value-added operations that will preserve the coffee quality until it is sold to the next
534 stakeholder in the production chain. The main recommendations are:
535
- 536 c.1) Record initial condition and age of the received stocks.
- 537 c.2) Arrange the coffee bags on pallets and away from walls, to allow good air
538 circulation.
- 539 c.3) Implement cleaning and maintenance programs in order to ensure that storage
540 facilities are periodically inspected, cleaned and renewed.
- 541 c.4) Check coffee bean weevil in the warehouse, implement integrated pest
542 management.
- 543 c.5) Farms and other operations should separate coffee types. This requires
544 planning of the storage area and adoption of a labeling system. Non-food
545 materials should not be stored with coffee to prevent contamination or taints in
546 the product.
- 547 d) Coffee cleaning and sorting should not physically damage the product as this will
548 make it more susceptible to contamination/deterioration nor introduce new
549 contamination and should assure reduction of undesirable materials to acceptable
550 pre-determined levels.
551
- 552 d.1) Ensure the facilities and equipment are regularly inspected maintained and
553 cleaned, through implementation of cleaning and maintenance programs.
554
- 555 d.2) When cleaning and sorting of coffee beans are done in the same storage facility,
556 attention is required to avoid contamination of post-cured coffee with the
557 curing by-products of dust and foreign matter, (e.g. through the use of partition
558 walls or extractor fans).

- 559 d.3) Remove defects from main-crop production, discarding or screening them
560 before their inclusion into the food chain. There is no uniform distribution of
561 defects within the classes of beans separated from bulk coffee. Evidence shows
562 that defective beans and husk (dried pulp also a defect) sometimes contain
563 higher *OTA* levels than sound beans. Based on further investigations of *OTA*
564 contamination of defects, competent authorities should provide clear guidance
565 to the stakeholders.
- 566 e) Transport of coffee also requires the adoption of practices to avoid re-wetting, to
567 maintain temperature as uniform as possible and to prevent contamination by other
568 materials. The main requirements are:
- 569 e.1) Cover coffee loading and unloading areas to protect against rain;
- 571 e.2) Vehicles must be cleaned from residues of the previous cargo before receiving a
572 new cargo;
- 573 e.3) Floor, side walls and the ceiling of closed vehicles must be checked for the
574 presence of points where exhaust fumes or water from rain can be channeled
575 into the coffee cargo. Tarpaulins and plastic canvass used to cover the cargo
576 should also be regularly checked to ensure they are clean and without holes.
577 The vehicles should also receive regular maintenance to be kept in good
578 condition;
- 579 e.4) Select operators that have reliable transport service-providers that adopt the
580 recommended good transportation practices.

581 **4.7 Ship Transportation**

- 582 50. Coffee is transported from the Philippines to other countries in jute bags, usually in to
583 20 to 40-footer containers. Temperature fluctuations, during the transportation time,
584 can cause condensation of the remaining water (present even in well-dried beans)
585 and local re-wetting. The redistribution of water can lead to fungal growth, with the
586 possibility of *OTA* production. The recommended practices during transportation in
587 the port are:
- 588 a.) Cover coffee loading and unloading areas to protect against rain.
- 590 b.) Check coffee lots to ensure that they are uniformly dried and with 12%
591 moisture content or below, free of foreign matter and respecting the established
592 defect levels.
593
594

- 595 c.) Check containers, before loading, to ensure they are clean, dry and without
596 structural damage that could allow water entrance into the container.
597
- 598 d.) Bags should be well stacked and crossed over for mutual support in order to
599 avoid the formation of empty vertical columns (chimneys). The top layer and
600 sides of bags should be covered with materials that can absorb condensed
601 water, such as silica gel or cardboard for protection against the growth of fungi
602 that could result in *OTA* production.
603
- 604 e.) Choose an appropriate place, not directly exposed to the weather, aboard the
605 ship to reduce the possibility of undesirable situations mentioned that can lead
606 to *OTA* contamination.
607
- 608 f.) Keep the ventilation holes in the containers free.
609
- 610 g.) Avoid unprotected stowage on the deck (top layer) and stow away from boilers
611 and heated tanks or bulkheads.
612
- 613 h.) The moisture content level should not exceed 12% anywhere, from the point
614 where the coffee leaves the loading area to the point at which the coffee is
615 unloaded, stored and/or subjected to other processing procedures such as
616 roasting.
617

618
619
620
621
622
623
624
625
626

627 **References:**

628 Bureau of Agriculture and Fisheries Product Standards (BAFPS). Philippine National
629 Standards (PNS): Green Coffee Beans (PNS/BAFPS 01:2012).

630 Bureau of Agriculture and Fisheries Product Standards (BAFPS). Philippine National
631 Standards (PNS): Good Agriculture Practices for Coffee (PNS/BAFPS ____:2014).

632 Codex Alimentarius Commission (CAC). Code of Practice for the Prevention and Reduction
633 of *Ochratoxin A* Contamination in Coffee (CAC/RCP 69-2009).

634 Codex Alimentarius Commission (CAC). Recommended International Code of Practice –
635 General Principles of Food Hygiene (CAC/RCP 1-1969, Rev. 4, 2003).

636 International Organization for Standardization (ISO). Coffee and Coffee Products -
637 Vocabulary (ISO 3509:2005).

638 International Organization for Standardization (ISO). Green Coffee – Determination of Loss
639 in Mass at 105°C (ISO 6673:2003).

640 Alvindia, D.G. & Acda. MA. 2010. Mycoflora of coffee bean in the Philippines. J. ISSAAS Vol.
641 16 (2). 116-125

642 Regpala, E., Esteves, L.A, Santiago M.C, Acda. MA. Incidence of Ochratoxin A in green coffee.
643 (unpublished) PHilMech

644

645

646

647

648

649

650

651

652

653

654

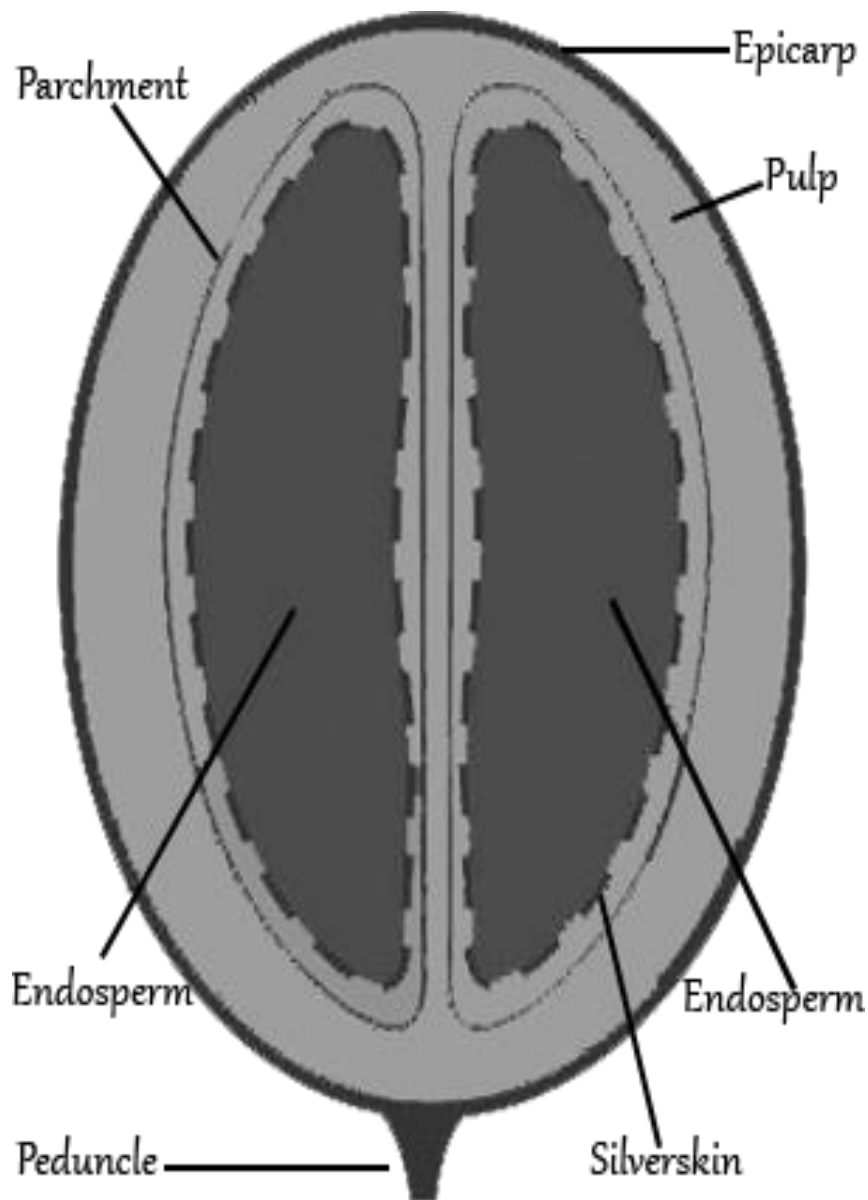
655

656

657 **Department of Agriculture (DA)**
 658 **Bureau of Agriculture and Fisheries Standards (BAFS)**
 659
 660 **Committee on Commercial Crops - Coffee Industry Development Sub-Committee of**
 661 **the National Agriculture and Fishery Council (NAFC)**
 662

Chair	
Ruby J. Apilado, PhD/Leah N. Castillo Food and Nutrition Research Institute (FNRI) Department of Science and Technology (DOST)	
Members	
<p>Alexander Joel Gibe, PhD/Rogelio G. Idago Philippine Center for Postharvest Development and Mechanization (PhilMech) Department of Agriculture (DA)</p> <p>Alejandro C Mojica, PhD Cavite State University (CvSU)</p>	<p>David T. Santos/Josephine V. Ramos Philippine Council for Agriculture and Fishery (PCAF)/ Organization for Partnerships, Teamworks and Initiatives on Opportunities for Nature Stewards (OPTIONS), Inc.</p> <p>Jocelyn M. Sales, PhD/Luz D. Padilla Food Development Center (FDC) Department of Agriculture (DA)</p>
Secretariat	
Chairpersons	
<p>Karen Kristine A. Roscom OIC-Executive Director BAFS- DA</p> <p>Lara V. Navarro OIC-Division Chief Standards Development Division BAFS-DA</p>	
Member	
<p>Maria Charissa B. Grepo Research Assistant I Standards Development Division BAFS-DA</p>	

663



664
665

666 **Figure 1. Coffee Cherry (CAC/RCP 69-2009)**

667

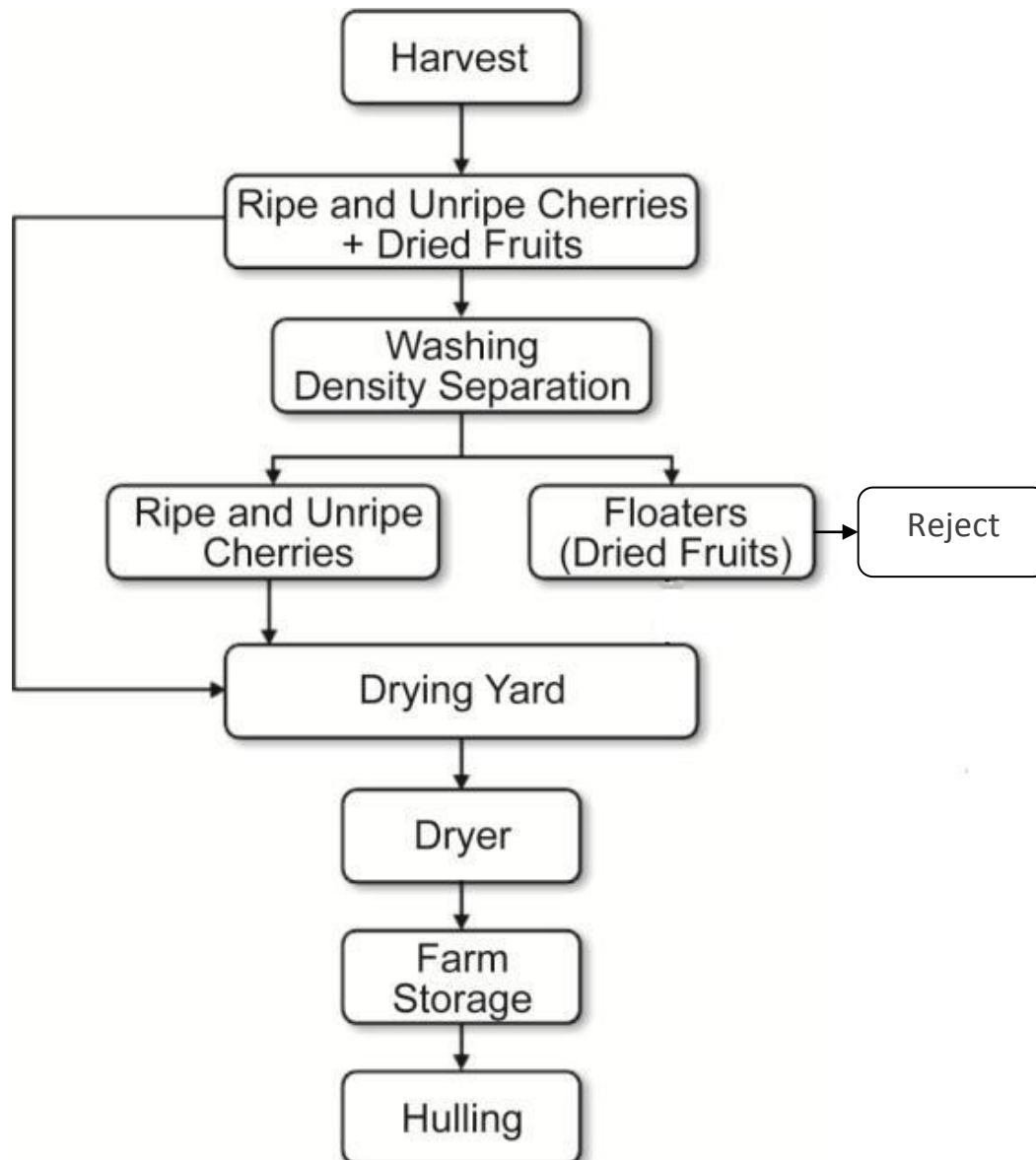
668

669

670

671

672



673

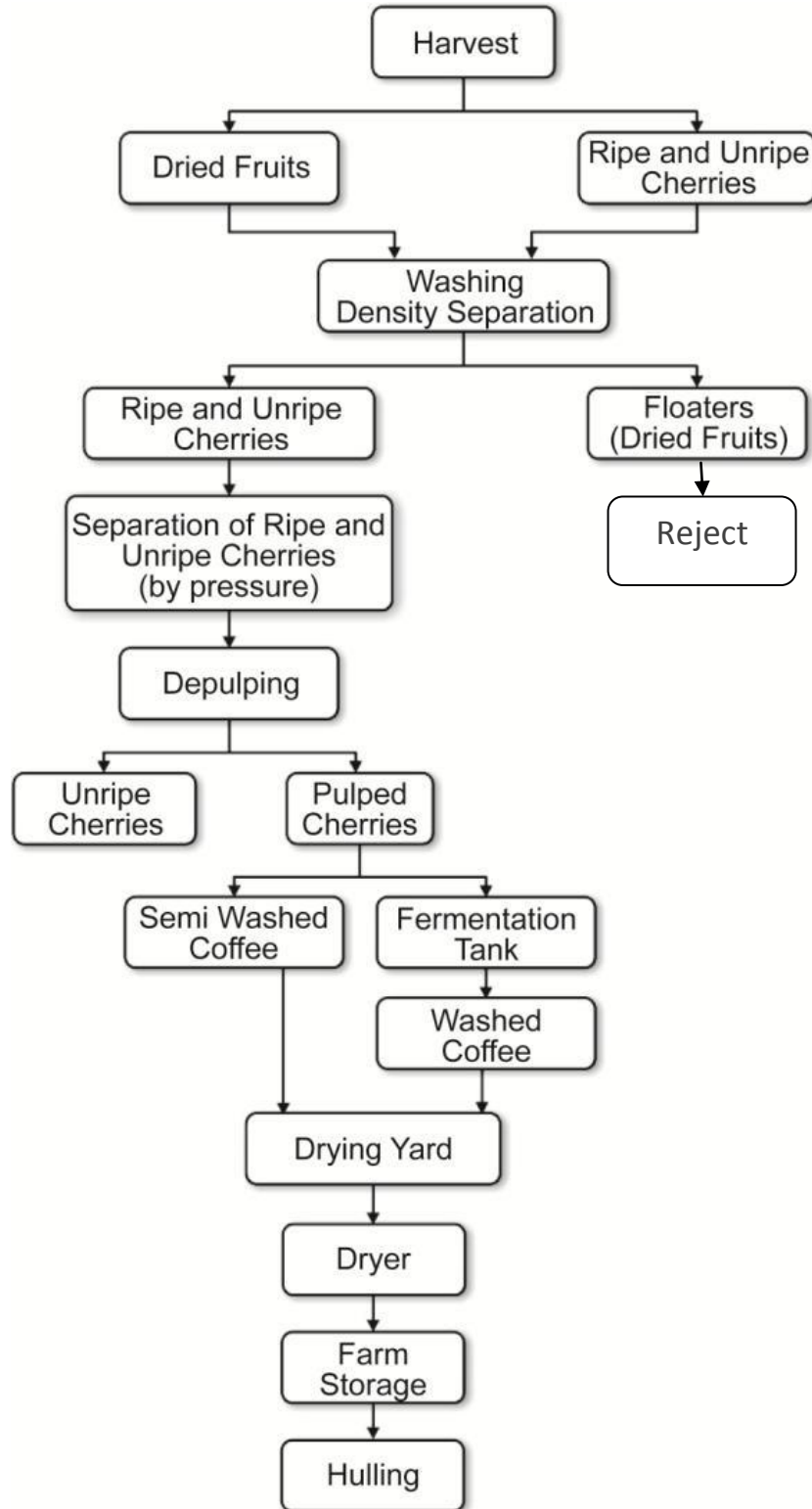
674

675

676 **Figure 2. Dry Processing Flow (CAC/RCP 69-2009)**

677

678



679
680

681 **Figure 3. Wet Processing Flow (CAC/RCP 69-2009)**